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Page 1 of 2

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/604,285	06/26/2000	Vincent James Gatto	PM 268477

RECEIVED

FORMALITIES LETTER

Intellectual Property Group of
Pillsbury Madison & Sutro LLP
Ninth Floor East Tower
1100 New York Avenue NW
Washington, DC 20005-3918

SEP 19 2000



CL# _____ MT# 268477
ATTY(S) KHC RNM
DUE: NOV 12 2000
DKT BY (1) CMS (2) [signature]

Date Mailed: 09/12/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

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APR -3 2001
OIPE/JCWS

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$756.
■ \$756 for 42 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

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TECHNOLOGY CENTER
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justment date: 04/03/2001 by applicant is \$ 1576.

7/13/2000 NROCHA1 00000073 09604285
FC:115 -110.00 DP
FC:105 -130.00 DP
FC:104 -270.00 DP
FC:103 -756.00 DP
FC:199 -710.00 DP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING COMPLETION UNDER RULE 53(f)

(NOT PCT Applications)

For Design, Provisional, or Utility Applications

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PATENT
APPLICATIONCOMPLETION Under
Rule 53(f)

In re PATENT APPLICATION of

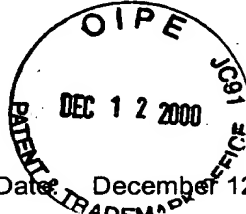
Inventor(s): GATTO, et al.

Attn: Application Division

Appln. No.: 09 604,285
Series Code ↑ Serial No. ↑Atty.Dkt. PM 268477
M#

Filed: June 26, 2000

Title: LUBRICANT CONTAINING. . . DIARYLAMINE



DEC 12 2000

Hon. Commissioner of Patents
Washington, DC 20231

Date: December 12, 2000

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Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☐ Original ☒ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
- a. ☐ Abstract
- b. # pages of Specification (only spec. & claims)
- c. ☐ Drawing(s) No of Sheets
- ☐ Fig(s).
4. ☐ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

8.

Application No.	Filing Date	Application N .	Filing Dat
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(b)

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9. _____ (No.) Certified copy (copies): _____ ☐ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)

11. ☐ Attached:

12. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee C de
13. Basic Filing Fee				Design Application	\$320/\$160	106/26
				Not Design Application	\$710/\$355	101/201
14. Total Effective Claims	62	minus 20 =	42	x \$18/\$9	+756	103/203
15. Independent Claims	3	minus 3 =	0	x \$80/\$40	+0	102/202
16. If any proper multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)					\$270/\$135	104/204
17. Surcharge for filing Declaration/filing fee late					\$130/\$65	105/205
18.				FILING FEE ENCLOSED =		\$1866
19. Original due date: November 12, 2000						
20. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached				(1 mo)	\$110/\$55 =	115/215
				(2mos)	\$390/\$195 =	116/216
				(3mos)	\$890/\$445 =	117/217
				(4mos)	\$1390/\$695 =	118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee					\$130	139
22. If "assignment" box 5 is X'd, add recording fee					\$40	581
23. Petition Fee for					\$130	+0
24.				TOTAL FEE ENCLOSED =		\$1976

Our Deposit Account No. 03-3975

Our Order No. 30664

C#

268477

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Madison & Sutro LLP
Intellectual Property Group

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Ninth Floor
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Tel: (202) 861-3000
Atty/Sec: KHC/amp

By Atty: Kendrew H. Colton

Sig: [Signature]

Reg. No. 30368

Fax: (202) 822-0944
Tel: (202) 861-3606

NOTE: Fil in duplicate with PTO receipt (PAT-103A) and attachments